

## LEGAL INFORMATION SHEET (ANACOFI-ANACOFI CIF)

This document is an essential element of the relationship between the client and their advisor.

It summarises all the legal information that the advisor or their firm must have communicated to the client at the outset of the relationship.

It supplements their commercial brochure.

You have chosen or are about to entrust the mission of assisting you to a regulated and supervised professional.

You should therefore keep the following elements in mind:

### THE FIRM

Name or company name : KERIUS FINANCE SAS

Business address or registered office : 17 rue Dupin, 75006 Paris

SIREN: 520 300 948

NAF/APE: 7022Z

Information collected by our firm is stored in a computer file by Sébastien Rouzaire in order to carry out the requested mission. It is retained for five years after the end of the last mission and is accessible to team members who need it and to authorities in the event of audits (AMF, ANACOFI).

In accordance with the French Data Protection Act, you may exercise your rights of access, objection, erasure, restriction of processing, and data portability, and request rectification by contacting: *Sébastien Rouzaire*

You may also lodge a complaint regarding the processing of your personal data with the CNIL (French Data Protection Authority).

### LEGAL STATUS AND SUPERVISORY AUTHORITIES

Your advisor is registered with the Unique Register of Insurance, Banking and Finance Intermediaries (ORIAS) under registration number 13000716 (You can verify this registration on the ORIAS website: <https://www.orias.fr/welcome>) for the following regulated activities :

**CIF (Financial investmentAdvisor)** eligible to provide investment advice in an independent manner within the meaning of Article 325-5 of the RGAMF registered with the National Association of Independent Financial Advisors (**ANACOFI-CIF**), an association approved by the Financial Markets Authority (AMF), postal address: 17 Place de la Bourse 75082 Paris cedex 02 and website: [www.amf-france.org](http://www.amf-france.org);

This activity is subject to supervision by the AMF.

Your advisor or intermediary (depending on whether they are a member of ANACOFI and/or ANACOFI-CIF) holds sufficient Professional Liability Insurance and Financial Guarantee covering their various activities, in accordance with the law and the codes of conduct of ANACOFI and ANACOFI-CIF. These coverages comply in particular with the requirements of the Monetary and Financial Code and the Insurance Code.

Subscribed with: MMA Entreprise

Policy numbers: 114 231 724

For amounts of :	CIF
Professional Liability Insurance :	€900,000 per claim
Financial Guarantee :	Unlimited per year

Your advisor has committed to fully complying with the Code of Good Conduct of the **ANACOFI-CIF** available at the association's registered office or at [www.anacofi.asso.fr](http://www.anacofi.asso.fr) or <https://www.anacofi-cif.fr/>.

KERIUS FINANCE SAS

17, rue Dupin, 75006 Paris

SAS au capital de 20.000 € - RCS Paris 520 300 948 – NAF 7022Z – TVA intracom. FR02520300948

Phone : +33 (0)1 83 62 27 61 – Fax : +33 (0)1 83 62 28 55

Independent Financial Advisor. Membre de l'ANACOFI-CIF – ORIAS N° 13000716 [www.orias.fr](http://www.orias.fr)

Professional liability : MMA Entreprises – Policy number : 114 231 724

## **LIST OF PARTNERS/COMPANIES/OTHER SUPPLIERS**

No financial partner or financial product supplier generates revenue for Kerius Finance SAS. The share capital of Kerius Finance SAS includes no financial partners.

## **BILLING METHOD AND REMUNERATION OF THE PROFESSIONAL AS IFA**

The remuneration of Kerius Finance SAS consists exclusively of fees paid by clients as defined in the engagement letter.

In the case of independent financial investment advice, your advisor undertakes not to retain any commissions and to pass them on to you as quickly as possible.

In this context, the advisor assesses a sufficient range of financial instruments available on the market. These instruments are sufficiently diversified in terms of their type and their issuers or providers and are not limited to those with which the advisor maintains close relationships in the form of equity, economic or contractual ties that could compromise the independence of the advice provided.

## **COMMUNICATION METHOD**

Email exchanges, electronic reports, telephone/video conferences or meetings as provided for in the contract.

Last updated: 04/17/2026

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## COMPLAINTS HANDLING

(Article 325-23 of the RGAMF and ACPR recommendation of 9 May 2022)

### HOW TO CONTACT THE FIRM

For any complaint, your advisor (or the firm's complaints department) can be contacted as follows:

By post: 17 rue Dupin 75006 PARIS

Par tel/ fax : 01 83 62 27 61 / 01 83 62 28 55

#### Complaints processing:

Your Advisor undertakes to process your complaint within the following timeframes:

- A maximum of ten working days from the date of submission of the complaint, to acknowledge receipt, unless a response has already been provided to the client within that period;
- A maximum of two months between the date of submission of the complaint and the date on which the response is sent to the client.

### Refer to a mediator:

#### I - Competent mediator for disputes with a business :

Anacofi Mediator  
92 rue d'Amsterdam  
75009 Paris

#### II - Competent mediators for disputes with a consumer :

**New** : Mr. Rémi BOUCHEZ

AMF Mediator  
Financial Markets Authority  
17, place de la Bourse  
75082 Paris cedex 02

Website:

<https://www.amf-france.org/fr/le-mediateur-de-lamf/votre-dossier-de-mediation/vous-voulez-deposer-une-demande-de-mediation>

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